

CAUSE NO. D-1-GN-24-001018

SAJID MAQSOOD, TRUSTEE OF THE SAJID	§	IN THE DISTRICT COURT
& JOAN M. MAQSOOD REVOCABLE TRUST,	§	
ET AL.,	§	
	§	
Plaintiffs,	§	
	§	
v.	§	TRAVIS COUNTY, TEXAS
	§	
PRIDE OF AUSTIN HIGH YIELD FUND I, LLC,	§	
ET AL.,	§	
	§	
Defendants.	§	201 <sup>ST</sup> JUDICIAL DISTRICT

**RECEIVER’S INVESTOR CLAIMS REPORT**

Gregory S. Milligan, in his capacity as the Court-appointed receiver (“**Receiver**”) for Defendant Pride of Austin High Yield Fund I, LLC (“**POA**” or the “**Fund**”), files this, his *Investor Claims Report* (the “**Report**”), and would respectfully show the Court as follows:

**A. THE CLAIMS ORDER AND SERVICE OF RECONCILIATION NOTICES AND TRANSACTION HISTORIES**

1. On June 17, 2024, the Court entered its *Order Granting Receiver’s Motion to Approve (I) Proposed Claims Verification Procedures, and (II) Claims Bar Date* (“**Claims Order**”). Pursuant to the Claims Order, with respect to Investor Claimants<sup>1</sup>, the Receiver was required to “send notices to Investor Claimants, which shall include (i) cash invested into POA; (ii) cash paid out to Investor Claimants by POA; and (iii) the amount of reinvested dividends, if any (the “**Transaction History**”), per the books and records of POA (the “**Reconciliation Notice**”). Claims Order, ¶ 4(b).

2. On August 2, 2024, the Receiver, through his claims agent, served copies of the Reconciliation Notices and Transaction Histories on all known current and former investors in

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<sup>1</sup> Investor Claimants is defined in the Claims Order as those holding membership interests in POA.

POA per the books and records of POA. The Reconciliation Notices were sent to each Investor Claimant at their known physical address via regular U.S. mail and at their known email address<sup>2</sup>. Attached hereto as Exhibit A is the Certificate of Service for the Reconciliation Notices and Transaction Histories.

**B. OBJECTIONS TO RECONCILIATION NOTICES AND ATTEMPTS TO RESOLVE OBJECTIONS**

3. Pursuant to the Claims Order, Investor Claimants were provided “a twenty-one day period from the date such Reconciliation Notice is mailed to file an objection to the Reconciliation Notice”. Claims Order, ¶ 4(b). Because the Reconciliation Notices and Transaction Histories were served on August 2, 2024, the deadline to object to the Reconciliation Notices was August 23, 2024 (the “**Objection Deadline**”)

4. Out of the 373 Reconciliation Notices that were sent to current and former investors, 32 objections were submitted to the Receiver. As of the date of this Report, the Receiver believes he has resolved all of the objections except for those on eight (8) accounts associated with three investors (the “**Objecting Investors**”).

5. On October 24, 2024, the Receiver filed his Motion for Adjudication of Unresolved Investor Claim Objections Pursuant to the Claims Order (the “**Motion to Adjudicate**”) in which he has asked the Court to overrule the objections of the Objecting Investors and approve the Transaction Histories provided by the Receiver to the Objecting Investors.

**C. THE REPORT**

6. The Claims Order required that:

With respect to the Investor Claims, after the deadline to object to Reconciliation Notices has passed, the Receiver will then file with the Court a report outlining the Receiver’s findings as to the Transaction History for each Investor Claimant (the “**Investor Claims Report**”) and serve a copy on each Investor Claimant identified therein. The Investor

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<sup>2</sup> In some instances, the Receiver did not have a known email and physical mail address for certain Investor Claimants. In such circumstances, the Receiver sent notice to the address, either physical or email, of which he had knowledge.

Claims Report will identify which Investor Claimants have objected to the Reconciliation Notice, and which Investor Claimants have not objected to the Reconciliation Notice. As detailed supra, any Investor Claimants that do not timely object to their Reconciliation Notice shall be bound by the Transaction History findings of the Receiver.

Claims Order, ¶ 7(b).

7. Attached hereto as Exhibit B is the Investor Claims Report. The Report provides the following fields of information for each Investor Claimant:

- Investor Account Number<sup>3</sup>;
- Cash Invested into POA;
- Cash Received by POA;
- Investment Transfers;
- Investor Incentive Payments;
- Reinvested Distributions;
- Whether an objection was received; and
- The status of such objection

**C. RESOLUTION OF OBJECTIONS**

8. If an objection was received by an Investor Claimant, then the column titled “Objection Status” to the Investor Claims Report indicates the status of such objection. If no objection was received, then this column is blank.

9. Certain objections were received by the Receiver, and after discussion with the relevant objecting Investor Claimant, such Investor Claimant withdrew their objection, and no

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<sup>3</sup> Investor Claimants are not identified by name but rather by account number in an effort to provide some level of privacy. Each Investor Claimant was provided with an account number when they received their Reconciliation Notice. Such account number is in the top left corner of the first page of the Reconciliation Notice.

updates were made to their Transaction Histories. For those Investor Claimant's, column titled "Objection Status" indicates "Resolved – withdrawn".

10. Relatedly, certain objections were received by the Receiver, and after discussion with the relevant objecting Investor Claimant, such Investor Claimant's transaction histories were updated. For those Investor Claimant's, their "Objection Status" indicates "Resolved – Amended Transaction History", and the amounts that were updated are highlighted in the Investor Claims Report.

11. Certain Investor Claimants affirmatively filed proofs of claim, which are not for Investor Claimants, but rather are for Creditor Claimants. The Receiver has notated that such Investor Claimants have filed proofs of claim in the Investor Claims Report. However, if no objection to their Transaction History was sent to the Receiver, then column titled "Objection Status" indicates that no specific objection was received. The Receiver expects to file objections to the Investor Claimants that filed creditor proofs of claim on the basis that they are not creditors, and all such rights are reserved by the Receiver to do so.

12. Certain objections were addressed by Rule 11 Stipulations between counsel for objecting Investor Claimants and counsel for the Receiver. A copy of all such stipulations are attached hereto as Composite Exhibit C. These accounts are notated as "Resolved per stipulation" in the attached Report, and the terms of the stipulation shall control.

13. **Note to Investors:** Please review the Investor Claims Report attached as Exhibit B hereto. If you do not agree with the way in which the Receiver's notations as to your investor account, please contact the Receiver or his counsel to discuss.

Dated: November 11, 2024

Respectfully submitted,

HOLLAND & KNIGHT LLP

By: /s/ Trip Nix

William R. "Trip" Nix  
Texas Bar No. 24092902  
Nicholas R. Miller  
State Bar No. 24125328  
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[Hannah.Maloney@hklaw.com](mailto:Hannah.Maloney@hklaw.com)

ATTORNEYS FOR GREGORY S. MILLIGAN,  
RECEIVER

**CERTIFICATE OF SERVICE**

I hereby certify that, on November 11, 2024, a true and correct copy of the foregoing motion was served electronically upon all counsel of record via eFileTexas.

/s/ Trip Nix

Trip Nix

# **EXHIBIT A**

CAUSE NO. D-1-GN-24-001018

Sajid Maqsood, Trustee of the Sajid Maqsood &	§	IN THE DISTRICT COURT OF
Joan M. Maqsood Revocable Trust; Joan M.	§	
Maqsood; Annette Amey; Gregory Bow &	§	
Simmi Mehta; George E. Burchlaw; David A.	§	
Clark; David & Stephen Clark, Trustees of the	§	
Mary Goodwin Revocable Living Trust; Jay	§	
Dirkx; Rebecca Donovan; William Dodd; Mary	§	
Dunlap; Sunnyside, Ltd.; Rhonda & Douglas	§	
Fitzgerald; Richard Glasco; Martha Hapgood;	§	
James Harp; Julieta R. Hernandez; E.P.	§	
INITIATIVE, LLC; The beh Initiative, LLC;	§	
Janiga and Alfano Partners; Walter Johnstun;	§	
Salimuddin Khan, Trustee of the Khan Living	§	
Trust; Narayanan Krishnan; Desmond & Alice	§	
Lawler; Patrick Lawler; Jeanne P. Lucke; Avi	§	
Mozes, Trustee of the Avi & Diana Mozes	§	
Trust; Kathryn Nealis and Treasa Bruce; Greg	§	
Richards; 6 Straight Arrows, LLC; Cyns Hot	§	
Fund LLC; Phils Alpha Fund LLC; Francis	§	
Semmens; Ed & Jan Ueckert; William	§	
Vandersteel; and George Young;	§	
	§	
Plaintiffs;	§	
	§	
v.	§	TRAVIS COUNTY, TEXAS
	§	
Pride of Austin High Yield Fund I, LLC;	§	
CCG Capital Group, LLC; and Robert J.	§	
Buchanan;	§	
	§	
Defendants.	§	201 <sup>ST</sup> JUDICIAL DISTRICT

## **CERTIFICATE OF SERVICE**

I, Daniel Ramirez, depose and say that I am employed by Stretto, the claims and noticing agent for the Receiver in the above-captioned case.

On August 1, 2024, at my direction and under my supervision employees of Stretto caused the following documents to be served via first-class mail on the service list attached hereto as **Exhibit A**, and on August 2, 2024, caused the following documents to be served via electronic mail on the service list attached hereto as **Exhibit B**:

- **RECONCILIATION NOTICE** (sample attached hereto as **Exhibit C**)
- **RECEIVER'S MOTION TO APPROVE (I) PROPOSED CLAIMS VERIFICATION PROCEDURES, AND (II) CLAIMS BAR DATE**
- **ORDER GRANTING RECEIVER'S MOTION TO APPROVE (I) PROPOSED CLAIMS VERIFICATION PROCEDURES, AND (II) CLAIMS BAR DATE**
- **TRANSACTION HISTORY** (sample attached hereto as **Exhibit D**)

Dated: August 5, 2024

/s/ Daniel Ramirez

Daniel Ramirez

STRETTO

7 Times Square

16<sup>th</sup> Floor

New York, NY 10036

Telephone: 646-539-3664

Email: [TeamPrideofAustin@stretto.com](mailto:TeamPrideofAustin@stretto.com)



## **EXHIBIT A**

Exhibit A  
Served via First-Class Mail

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]



Exhibit A  
Served via First-Class Mail

[illegible]

Exhibit A  
Served via First-Class Mail

Name	Attention	Address 1	Address2	City	State	Zip	Country
Known Investor Name and Address Redacted							
Known Investor Name and Address Redacted							
Known Investor Name and Address Redacted							
Known Investor Name and Address Redacted							
Known Investor Name and Address Redacted							
Known Investor Name and Address Redacted							
Known Investor Name and Address Redacted							
Known Investor Name and Address Redacted							
Known Investor Name and Address Redacted							
<b>Total Count - 457</b>							

## **Exhibit B**

Exhibit B  
Served via Electronic Mail

[illegible]

Sajid Maqsood, Trustee of the Sajid Maqsood Joan M. Maqsood Revocable Trust, et al vs. Pride of Austin High Yield Fund, I, LLC; CCG Capital Group, LLC and Robert J. Buchanan

Cause No. D-1-GN-24-001018

Exhibit B  
Served via Electronic Mail

[illegible]

Sajid Maqsood, Trustee of the Sajid Maqsood Joan M. Maqsood Revocable Trust, et al vs. Pride of Austin High Yield Fund, I, LLC; CCG Capital Group, LLC and Robert J. Buchanan

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[illegible]

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Cause No. D-1-GN-24-001018



Exhibit B  
Served via Electronic Mail

Name	Email
Known Investor Name and Email Address Redacted	
<b>Total Count - 482</b>	

## Exhibit C

Investor Name  
Investor Address 1  
Investor Address 2  
Investor Address 3  
City / State / Zip

Personal Investor Number<sup>1</sup>:

## RECONCILIATION NOTICE

Pride of Austin Receivership Claims  
c/o Stretto  
410 Exchange, Ste. 100  
Irvine, California 92602  
[www.prideofaustinreceivership.com](http://www.prideofaustinreceivership.com)

**RE:** *Sajid Maqsood, Trustee of the Sajid Maqsood & Joan M. Maqsood Revocable Trust, et. al. v. Pride of Austin High Yield Fund I, LLC; CCG Capital Group, LLC; and Robert J. Buchanan; 201<sup>st</sup> Judicial District, Travis County, Texas; Cause No. D-1-GN-24-001018 (the “Receivership Action”)*

## IMPORTANT NOTICE

This is an important notice from Gregory S. Milligan of HMP Advisory Holdings, LLC d/b/a Harney Partners (the “**Receiver**”), acting solely in his capacity as the court-appointed receiver for Pride of Austin High Yield Fund I, LLC (“**POA**”) and all of its assets (collectively, the “**Receivership Estate**”) in the Receivership Action.

On [\*\*DATE\*\*] the Court in the Receivership Action, entered its *Order Granting Receiver’s Motion to Approve (I) Proposed Claims Verification Process; and (II) Claims Bar Date* (the “**Order**”). This Order applies to all Investor Claimants as that term is defined in the Order and the *Motion to Approve (I) Proposed Claims Verification Process; and (II) Claims Bar Date* (the “**Motion**”). If you are receiving this notice by mail or e-mail from the Receiver, a copy of the Motion and Order are attached hereto as Exhibits A and B. A copy of the Motion and Order are also available at [www.prideofaustinreceivership.com](http://www.prideofaustinreceivership.com).

In accordance with the Court-approved claims procedure, this Reconciliation Notice serves as notice of the Receiver’s preliminary determination of your Investor Claim (as defined in the Motion). Based on the Receiver’s review of POA’s books and records, the Receiver has made a determination concerning (i) the amount of cash invested by you into POA; (ii) the amount of cash paid out to you by POA; and (iii) the amount of reinvested dividends, if any (the “**Transaction History**”). Your Transaction History is reflected as follows:

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<sup>1</sup> In the interest of privacy you have been assigned a personal investor number. To the extent that you are referenced in public filings by the Receiver, it will be through this personal investor number.

Cash Invested into POA: \$ \_\_\_\_\_

Cash Received by you from POA: \$ \_\_\_\_\_

Reinvested Dividends: \$ \_\_\_\_\_

Exhibit C to this Reconciliation Notice sets forth the ledger of itemized transactions to support the Receiver's conclusions of your Transaction History. **You may dispute the Transaction History.** Instructions for disputing the Transaction History are below.

If you dispute any of the amounts detailed in the Transaction History, then you may object to this Reconciliation Notice by filing an objection with the Receivership Court in *Sajid Maqsood, Trustee of the Sajid Maqsood & Joan M. Maqsood Revocable Trust, et al. v. Pride of Austin High Yield Fund, LLC, et al.*, pending in the 201<sup>st</sup> Judicial District under Cause Number D-1-GN-24-001018 within 21 days of the date on which this Reconciliation Notice was mailed. Any objection must attach this Reconciliation Notice, state with particularity the reasons why such objection was made, and provide any supporting documentation for your objection.

If no objection is timely filed with respect to this Reconciliation Notice, the Reconciliation Notice shall be the **final, binding determination** of your Transaction History.

**NOTE: YOU MAY NOT RECEIVE THE FULL AMOUNT DETAILED IN YOUR TRANSACTION HISTORY FROM THE RECEIVERSHIP ESTATE.**

Your Transaction History represents (i) the amount of cash invested by you into POA; (ii) the amount of cash paid out to you by POA; and (iii) the amount of reinvested dividends, if any, and will be taken into account in a future interim or final distribution process to be approved by the Receivership Court. The distribution procedure and methodology will be determined by the Court at a later date. The Receiver will mail and e-mail all known investors a copy of the proposed Plan of Distribution, when available, and will publish a copy of the same on the Receiver's website: [www.prideofaustinreceivership.com](http://www.prideofaustinreceivership.com).

Investor Claimants and other parties in interest will have an opportunity to review the proposed Plan of Distribution and file responses and objections with the Court at that time.

Finally, please be advised that the notice provided here relates solely to the Transaction History regarding your investment and does not affect the Receiver's right to pursue other claims, including claims for the return of fictitious profits in excess of an investor's principal investment, which right is expressly reserved.

Thank you for your attention to and cooperation with this matter.

If you have questions concerning this Reconciliation Notice, you may contact Trip Nix via telephone at (512) 685-6476, via e-mail at [trip.nix@hklaw.com](mailto:trip.nix@hklaw.com), or via mail at the following address:

Gregory S. Milligan  
c/o Holland & Knight LLP  
Attn: Trip Nix, Ann Marie Jezisek, and Tammy Greenblum  
100 Congress Ave., Suite 1800  
Austin, Texas 78701

DATE OF MAILING: \_\_\_\_\_

## Exhibit D

Attachment C – Schedule

Investor Information

[REDACTED]  
[REDACTED]  
[REDACTED]

**Transaction Information**

Date <sup>1</sup>	Transaction Type	Reference Number	Contribution	Withdrawal
[REDACTED]	Investment	Deposit	[REDACTED]	
[REDACTED]	Cash Distribution	General Journal- [REDACTED]		[REDACTED]
[REDACTED]	Cash Distribution	General Journal- [REDACTED]		[REDACTED]
[REDACTED]	Cash Distribution			[REDACTED]
Totals			[REDACTED]	[REDACTED]

<sup>1</sup> With respect to any “Cash Distribution” transactions described herein, the date that is provided for such transaction in the ‘Date’ column is the last day of the quarter during which the payment was associated with, does not necessarily represent the date on which the payment cleared, and is in no way an admission, by either the Investor or the Receiver, that the payment was made on that date.

# **EXHIBIT B**



INVESTOR ACCOUNT	Cash Invested into POA:	Cash Received by you from POA:	Investment Transfers	Investor Incentives	Reinvested Distributions	Objection Received?	Objection Status
<b>ACTIVE MEMBERS</b>							
30299	\$150,000.00	(\$217,580.00)	\$50,000.00	-	-		
30499	\$125,000.00	-	-	\$500.00	\$183,611.17		
30599	\$190,000.00	(\$107,745.31)	-	-	\$109,273.81		
30909	\$198,554.45	(\$128,034.29)	-	-	\$1,445.55		
30919	\$150,000.00	(\$155,448.29)	-	-	-		
30969	\$170,000.00	(\$220,366.83)	-	-	\$67,432.03		
30979	\$100,000.00	(\$104,960.84)	-	-	-		
30999	\$36,500.00	-	-	-	\$97,772.95	Filed Proof of Claim	Resolved - No specific objection noted
31039	\$67,970.43	-	-	-	\$163,657.13	Filed Proof of Claim	Resolved - No specific objection noted
31049	\$31,713.62	-	-	-	\$54,739.58		
31059	\$400,000.00	(\$574,852.84)	-	-	\$61,144.98	Filed Proof of Claim	Resolved - Withdrawn
31069	\$1,065,000.00	(\$293,511.62)	-	-	\$1,419,646.39		
31099	\$210,597.17	-	\$300,197.65	-	\$894,897.77		
31129	\$368,776.00	-	-	-	\$659,911.53		
31219	\$170,900.00	(\$118,833.50)	\$19,846.71	-	\$204,712.17	Objected	Resolved - Withdrawn
31229	\$350,000.00	(\$247,517.28)	-	\$2,000.00	-		
31249	\$40,000.00	(\$2,400.87)	-	-	\$90,301.86		
31259	\$55,000.00	(\$40,216.87)	-	-	-		
31279	\$150,000.00	(\$84,782.61)	-	-	-		
31289	\$50,000.00	(\$54,473.85)	-	-	-		
31299	\$225,000.00	(\$144,033.09)	-	-	-	Filed Proof of Claim	Resolved - No specific objection noted
31309	\$75,000.00	-	-	-	\$72,350.95		
31339	\$150,000.00	(\$118,505.86)	-	-	\$196,956.21		
31359	\$100,000.00	(\$53,734.38)	-	\$1,000.00	\$55,294.49		
31369	\$100,000.00	(\$56,949.49)	-	\$1,000.00	\$64,715.57		
31379	\$100,000.00	(\$99,684.10)	-	-	\$21,433.04		
31419	\$100,000.00	(\$116,072.11)	-	-	\$47,380.33		
31439	\$122,702.94	(\$227,086.01)	-	-	\$184,267.20		
31449	\$141,925.73	-	-	-	\$291,915.08		
31479	\$1,404,800.61	(\$868,028.58)	-	-	\$786,869.13		
31549	\$45,000.00	(\$49,985.28)	-	-	-		
31579	\$450,000.00	-	-	-	\$843,451.08		
31649	\$300,000.00	(\$255,383.52)	-	-	-	Objected	Resolved per stipulation
31699	\$160,826.19	(\$81,882.08)	-	-	\$228,986.31	Objected	Resolved - Withdrawn
31739	\$170,000.00	(\$257,582.52)	-	-	\$215,858.39	Objected	Resolved per stipulation
31749	\$41,772.16	-	-	-	\$80,738.37		
31759	\$777,000.00	(\$814,399.43)	(\$400,000.00)	-	\$376,806.40	Objected	Resolved - Amended Transaction History & per stipulation
31779	\$175,300.00	(\$162,500.00)	-	-	\$104,900.34		
31789	\$75,000.00	(\$87,000.00)	-	-	\$54,244.65		
31799	\$73,638.36	(\$81,213.39)	-	-	\$14,308.27	Objected	Resolved - Withdrawn
31809	\$140,000.00	(\$146,032.23)	-	-	\$94,886.97		
31829	\$220,000.00	(\$136,855.65)	-	-	\$84,510.70		
31849	\$100,000.00	(\$95,531.76)	-	-	-		
31859	\$350,000.00	(\$282,541.36)	-	-	-		
31869	\$265,000.00	(\$327,526.36)	-	-	\$12,331.67		
31889	\$25,000.00	(\$1,891.74)	-	-	\$45,321.18		
31929	\$20,500.00	(\$1,979.35)	(\$19,846.71)	-	-	Objected	Resolved - Withdrawn
31939	\$625,000.00	(\$515,643.79)	-	-	-		
31949	\$205,000.00	(\$171,345.65)	-	-	\$291,308.16		
31959	\$650,000.00	(\$699,490.23)	-	-	-	Filed Proof of Claim	Resolved - No specific objection noted
31989	\$50,000.00	(\$18,766.04)	-	-	\$46,014.77		
32009	\$75,000.00	(\$71,866.74)	-	-	\$23,599.07		
32029	\$105,468.94	(\$249,187.64)	\$126,530.80	-	\$18,204.89	Objected	Resolved - Withdrawn
32039	\$50,962.71	-	-	-	\$91,588.48		
32049	\$100,000.00	(\$86,973.30)	-	-	-		
32059	\$305,000.00	(\$287,992.28)	-	-	\$348,537.41		
32069	\$235,000.00	(\$169,197.38)	-	-	-		
32079	\$34,847.72	-	-	-	\$62,769.98		
32089	\$227,000.00	(\$226,200.70)	-	-	\$55,031.57	Objected	Resolved - Amended Transaction History
32099	\$100,000.00	(\$101,900.88)	-	-	-		
32149	\$56,000.00	(\$15,500.00)	-	-	\$79,364.51		
32179	\$885,000.00	(\$617,811.36)	-	\$4,700.00	\$174,294.50	Filed Proof of Claim	Resolved - No specific objection noted
32189	\$1,500,000.00	(\$604,994.21)	-	-	-		
32199	\$50,000.00	(\$44,154.48)	-	-	-		
32209	\$610,000.00	(\$683,953.52)	-	-	\$20,560.26		
32219	\$198,000.00	(\$271,546.95)	\$102,000.00	-	-		
32229	\$134,670.27	-	-	-	\$213,043.76		
32239	\$350,000.00	(\$171,676.01)	-	-	\$235,132.71		
32259	\$50,000.00	(\$51,059.39)	-	-	-		
32269	\$140,000.00	(\$285.53)	-	-	\$159,781.67		
32279	\$588,500.00	(\$672,355.71)	-	-	\$190,518.06		
32319	\$500,000.00	(\$498,429.62)	-	-	-	Filed Proof of Claim	Resolved - No specific objection noted
32369	\$100,000.00	(\$89,449.75)	-	-	\$2,949.40		
32389	\$25,000.00	(\$24,724.04)	-	-	-		
32429	\$2,095,500.00	(\$630,000.00)	-	-	\$2,314,729.74		
32469	\$575,000.00	(\$86,812.12)	-	-	\$323,726.85		

INVESTOR ACCOUNT	Cash Invested into POA:	Cash Received by you from POA:	Investment Transfers	Investor Incentives	Reinvested Distributions	Objection Received?	Objection Status
32479	\$50,000.00	-	-	\$500.00	\$51,608.71		
32529	\$456,800.00	(\$387,434.89)	-	-	-	Filed Proof of Claim	Resolved - Withdrawn
32539	\$465,721.43	(\$439,455.48)	-	-	\$37,278.57		
32559	\$330,000.00	(\$97,000.00)	-	-	\$496,064.86		
32569	\$413,206.14	(\$298,140.56)	-	-	\$46,160.69	Filed Proof of Claim	Resolved - Withdrawn
32589	\$1,479,000.00	(\$1,728,583.40)	-	-	\$50,760.82		
32619	\$900,000.00	(\$935,274.04)	-	-	-	Objected	Resolved per stipulation
32629	\$150,000.00	(\$53,646.74)	-	-	\$215,356.00		
32639	\$145,000.00	(\$200,000.00)	-	-	\$121,288.06		
32659	\$50,000.00	(\$46,643.59)	-	-	-		
32669	\$290,000.00	(\$267,017.10)	-	-	\$17,083.40		
32679	\$289,770.00	(\$318,612.93)	-	-	-		
32699	\$50,000.00	(\$3,248.14)	-	-	\$70,742.51		
32709	\$25,025.00	(\$11,791.01)	-	-	\$23,530.68		
32729	\$205,299.41	(\$7,842.18)	-	-	\$156,828.10		
32739	\$12,105.20	(\$105.20)	-	-	\$12,563.99		
32749	\$259,706.89	(\$290,053.14)	-	-	\$158,765.04	Objected	Unresolved
32769	\$654,897.11	(\$187,440.73)	(\$200,172.55)	-	\$69,388.04	Objected	Unresolved
32779	\$31,000.00	-	-	-	\$45,411.76		
32789	\$53,000.00	-	-	-	\$77,639.44		
32799	\$16,000.00	-	-	-	\$23,438.33		
32819	\$50,000.00	(\$44,742.47)	-	-	-		
32859	\$75,000.00	(\$60,842.57)	-	-	\$15,526.19	Objected	Resolved - Amended Transaction History
32869	\$50,000.00	(\$29,111.84)	-	-	\$54,103.26		
32889	\$206,071.66	(\$110,637.83)	-	-	\$43,928.34		
32899	\$75,000.00	-	-	-	\$97,571.10		
32909	\$150,000.00	(\$66,141.09)	-	-	\$114,299.03		
32919	\$275,000.00	(\$300,000.00)	-	-	\$99,033.97		
32929	\$140,000.00	(\$85,370.03)	-	-	-		
32939	\$1,750,000.00	(\$913,077.13)	-	-	-	Filed Proof of Claim	Resolved - No specific objection noted
32969	\$350,000.00	(\$154,856.52)	-	-	\$103,771.76		
32979	\$75,000.00	-	-	-	\$93,275.42		
32989	\$25,000.00	(\$14,465.71)	-	-	\$9,370.79		
32999	-	-	\$50,000.00	-	\$58,750.68	Filed Proof of Claim	Resolved - No specific objection noted
33039	\$100,000.00	(\$65,297.05)	-	-	-		
33049	\$120,000.00	-	-	-	\$61,324.06		
33059	\$53,000.00	-	-	-	\$57,345.84		
33069	\$53,000.00	-	-	-	\$57,345.84		
33079	\$105,552.55	-	-	-	\$111,956.80		
33109	\$299,111.01	-	-	-	\$295,659.63		
33139	\$125,000.00	(\$50,000.00)	-	-	\$91,671.94		
33149	\$200,000.00	(\$275,346.73)	-	-	-		
33159	\$45,500.00	(\$21,314.30)	-	-	\$17,470.79		
33169	\$65,636.20	(\$28,677.40)	-	-	\$32,502.92		
33179	\$100,000.00	-	-	-	\$99,075.20		
33189	\$74,000.00	(\$99,816.22)	\$66,671.09	-	-		
33209	\$1,990,000.00	(\$1,233,973.02)	(\$995,000.00)	-	\$101,111.66	Objected	Resolved - Amended Transaction History
33219	\$100,000.00	-	-	\$750.00	\$78,836.44		
33269	\$200,000.00	(\$127,357.89)	-	-	-		
33309	\$300,000.00	(\$175,992.73)	-	-	-		
33329	\$95,000.00	(\$51,000.00)	-	-	\$65,856.31		
33339	\$50,000.00	(\$29,653.99)	-	-	-		
33349	\$51,000.00	(\$21,816.25)	-	-	\$13,836.47		
33369	\$310,000.00	(\$221,768.74)	-	-	-	Filed Proof of Claim	Resolved - Withdrawn
33379	\$50,000.00	(\$29,489.42)	-	-	-		
33389	\$100,000.00	(\$58,265.63)	-	-	-		
33399	\$500,000.00	(\$255,654.56)	-	-	\$268,678.45	Objected	Resolved per stipulation
33409	\$50,000.00	-	-	-	\$38,240.29		
33429	\$100,000.00	(\$16,261.60)	-	-	\$53,982.32		
33469	\$182,180.00	(\$64,276.73)	-	-	\$15,755.12		
33479	\$50,000.00	(\$27,058.21)	-	-	-		
33489	\$125,000.00	(\$56,028.26)	-	-	\$11,712.77		
33499	\$74,000.00	-	-	\$740.00	\$52,124.27		
33509	\$80,000.00	-	-	\$800.00	\$56,350.53		
33519	\$175,000.00	(\$145,246.01)	-	-	-	Objected	Resolved per stipulation
33539	\$100,000.00	(\$53,069.22)	-	-	-		
33549	\$25,000.00	(\$2,160.37)	-	-	\$14,657.21	Filed Proof of Claim	Resolved - No specific objection noted
33559	\$160,000.00	-	-	-	\$56,525.11		
33569	\$100,000.00	(\$51,959.80)	-	-	-		
33599	\$200,000.00	(\$211,907.18)	-	-	-		
33609	\$50,000.00	-	-	-	\$32,916.01		
33619	\$150,000.00	(\$68,822.40)	-	-	-		
33629	\$50,000.00	(\$25,588.33)	-	-	-		
33639	\$70,000.00	-	-	\$750.00	\$37,312.33		
33659	\$100,000.00	(\$50,948.26)	-	-	-		
33669	\$100,000.00	(\$81,444.37)	-	-	\$11,905.64	Objected	Resolved per stipulation
33679	\$696,907.65	(\$110,980.31)	-	-	\$444,395.32		
33689	\$100,000.00	(\$50,458.81)	-	-	-		
33699	\$105,000.00	-	-	-	\$46,331.75		
33709	-	(\$163,325.23)	\$200,172.55	-	\$26,325.50		
33809	\$375,000.00	-	\$855,000.00	\$7,500.00	\$705,976.11		Resolved - Amended Transaction History

INVESTOR ACCOUNT	Cash Invested into POA:	Cash Received by you from POA:	Investment Transfers	Investor Incentives	Reinvested Distributions	Objection Received?	Objection Status
33819	\$305,300.00	(\$67,666.84)	-	-	\$56,004.00	Objected	Resolved - Amended Transaction History
33829	\$71,995.00	-	-	-	\$42,139.32		
33839	\$100,000.00	(\$34,797.19)	-	-	\$18,601.16		
33849	\$50,000.00	-	-	-	\$29,192.00		
33859	\$1,204,000.00	(\$1,529,181.20)	-	\$12,000.00	\$228,791.14		
33869	\$50,000.00	-	-	-	\$28,936.83		
33889	\$102,000.00	(\$39,070.35)	-	-	\$12,486.81		
33899	\$50,000.00	(\$12,776.96)	-	-	\$12,776.96		
33909	\$151,000.00	-	-	\$1,000.00	\$56,789.23		
33919	\$387,919.77	-	-	-	\$145,270.85		
33929	\$78,029.02	(\$40,000.00)	-	-	\$19,205.81		
33939	\$25,500.00	-	-	-	\$14,400.10		
33949	\$25,500.00	-	-	-	\$14,400.10		
33959	\$100,000.00	(\$110,720.83)	-	-	-		
33979	-	(\$157,524.94)	\$350,000.00	-	-		
34009	\$45,000.00	-	-	-	\$16,925.03		
34019	\$28,869.45	(\$9,979.92)	-	-	\$7,525.04		
34029	\$35,216.59	(\$9,284.53)	-	-	\$7,583.13		
34039	\$71,000.00	(\$28,639.82)	-	\$400.00	-		
34049	\$130,000.00	-	-	-	\$67,432.22		
34059	\$60,000.00	-	-	-	\$30,773.54		
34069	\$100,000.00	(\$7,164.54)	-	\$1,250.00	\$30,266.99		
34089	\$141,400.00	(\$57,193.41)	-	-	-		
34099	\$150,000.00	(\$68,268.52)	-	\$1,000.00	\$46,618.70		
34509	\$131,085.40	-	-	-	\$56,537.76	Objected	Resolved - Amended Transaction History
34519	\$111,200.00	(\$20,558.04)	-	-	\$48,692.34		
34529	\$475,000.00	(\$152,364.71)	-	-	-	Objected	Resolved - Withdrawn
34539	\$103,000.00	(\$38,049.17)	-	-	-		
34549	\$268,000.00	-	-	-	\$33,526.22		
34559	\$25,000.00	(\$15,000.00)	-	-	\$9,393.83		
34569	\$100,000.00	(\$584,202.36)	\$750,000.00	-	-	Objected	Resolved - Amended Transaction History & per stipulation
34589	\$600,000.00	(\$196,407.77)	-	\$10,000.00	-	Objected	Resolved per stipulation
34599	\$75,000.00	(\$12,567.20)	-	\$1,000.00	\$17,041.23		
34609	\$50,000.00	(\$17,928.73)	-	\$1,000.00	-		
34629	\$75,000.00	-	-	\$1,500.00	\$31,344.45		
34649	\$200,000.00	-	-	\$1,500.00	\$79,224.06		
34659	\$120,000.00	(\$40,951.81)	-	-	-		
34689	\$50,000.00	(\$27,299.98)	\$50,000.00	-	-		
34699	\$80,000.00	-	-	-	\$30,279.88	Filed Proof of Claim	Resolved - No specific objection noted
34709	\$200,000.00	(\$31,564.35)	-	-	\$22,604.31		
34719	\$87,210.94	(\$9,552.07)	-	-	\$31,314.62		
34729	\$51,794.84	-	-	-	\$19,333.80		
34739	\$25,000.00	-	-	-	\$7,706.97		
34749	\$100,000.00	(\$26,818.99)	-	-	-		
34759	\$100,000.00	-	-	-	\$30,298.10		
34769	\$183,910.58	(\$27,891.42)	-	-	\$5,999.03		
34779	\$60,000.00	-	-	-	\$9,233.93		
34789	\$50,000.00	(\$11,981.86)	-	-	-		
34799	\$151,000.00	-	-	-	\$34,234.41		
34809	\$500,000.00	(\$112,599.73)	-	-	-	Filed Proof of Claim	Resolved - No specific objection noted
34819	\$350,000.00	(\$68,185.76)	-	-	-		
34829	-	(\$249,740.61)	\$400,000.00	-	-	Objected	Resolved - Amended Transaction History & per stipulation
34839	\$500,000.00	(\$34,110.46)	-	-	\$91,011.08		
34849	\$100,000.00	(\$19,997.90)	-	-	-		
34859	\$50,000.00	(\$58,934.49)	\$250,000.00	-	-		
34869	\$33,000.00	-	-	-	\$7,562.85	Filed Proof of Claim	Resolved - No specific objection noted
34879	\$325,000.00	(\$4,000.00)	-	-	\$52,155.65		
34889	\$50,000.00	-	-	-	\$7,101.71		
34899	\$75,000.00	(\$60,842.57)	\$90,526.19	\$15,526.19	-	Objected	Resolved - Amended Transaction History
34909	\$150,000.00	(\$10,077.41)	-	-	-		
34919	\$411,300.47	(\$55,845.94)	-	-	-		
34929	-	(\$14,179.73)	\$130,000.00	-	-		
34939	\$50,000.00	(\$27,297.66)	-	-	\$4,595.32		
34949	\$60,000.00	-	-	-	\$5,125.53	Filed Proof of Claim	Resolved - No specific objection noted
34959	\$100,000.00	(\$4,871.92)	-	-	-		
34969	-	(\$14,179.73)	\$130,000.00	-	-		
34979	\$100,000.00	(\$6,013.46)	-	-	-		
34989	\$100,000.00	-	-	-	\$4,105.97		
34999	\$50,000.00	(\$1,315.18)	-	-	-		
35019	\$200,000.00	-	-	-	-		

INVESTOR ACCOUNT	Cash Invested into POA:	Cash Received by you from POA:	Investment Transfers	Investor Incentives	Reinvested Distributions	Objection Received?	Objection Status
FORMER MEMBERS							
30399	\$150,000.00	(\$284,921.29)	-	-	-		
30699	\$10,000.00	(\$17,430.33)	-	-	-		
30799	\$50,000.00	(\$37,513.89)	(\$50,000.00)	-	-		
30899	\$55,000.00	(\$82,657.06)	-	-	\$4,907.69	Filed Proof of Claim	Resolved - Withdrawn
30929	\$100,000.00	(\$130,927.96)	-	-	-		
30939	\$25,000.00	(\$30,036.77)	-	-	-		
30949	\$1,250,000.00	(\$1,587,075.15)	-	-	-		
30959	\$255,400.14	(\$259,354.64)	(\$260,000.00)	-	\$4,599.86		
30989	\$20,000.00	(\$31,780.43)	-	-	-		
31009	\$60,000.00	(\$178,283.44)	-	-	\$41,951.43	Objected	Unresolved
31019	\$30,000.00	(\$36,277.59)	-	-	\$6,277.59		
31029	\$350,000.00	(\$528,986.39)	-	-	\$15,932.48	Filed Proof of Claim	Resolved - Withdrawn
31079	\$22,550.12	(\$34,264.43)	-	-	\$11,714.31		
31089	\$250,000.00	(\$271,514.11)	-	-	-		
31109	\$196,823.00	-	(\$300,197.65)	-	\$103,374.65		
31120	\$50,000.00	(\$50,000.00)	-	-	-		
31139	\$100,000.00	(\$118,078.31)	-	-	-		
31149	\$127,000.00	(\$195,679.73)	-	-	\$68,679.73		
31159	\$775,000.00	(\$1,204,243.87)	-	-	-		
31169	\$50,000.00	(\$66,658.66)	-	-	\$8,329.33		
31179	\$200,000.00	(\$228,360.18)	-	-	-		
31189	\$25,000.00	(\$33,611.23)	-	-	\$8,611.23		
31199	\$70,000.00	(\$96,616.72)	-	-	-		
31209	\$54,000.00	(\$60,612.65)	-	-	-		
31239	\$23,000.00	(\$36,413.26)	-	-	\$13,413.26		
31269	\$100,000.00	(\$174,811.18)	-	-	-		
31319	\$700,000.00	(\$510,331.76)	(\$700,000.00)	-	-		
31329	\$1,421,000.00	(\$1,612,808.64)	(\$102,000.00)	-	-		
31349	\$200,000.00	(\$374,810.68)	-	-	\$164,146.39		
31399	\$25,000.00	(\$26,591.36)	-	-	\$1,591.36		
31409	\$200,000.00	(\$297,456.24)	-	-	-		
31429	\$100,000.00	(\$144,567.06)	-	-	-		
31459	\$380,248.00	(\$780,527.56)	-	-	\$377,310.64		
31469	\$57,000.00	(\$79,946.79)	-	-	\$22,149.11		
31489	\$275,000.00	(\$279,356.07)	(\$102,085.50)	-	\$97,476.89		
31499	\$780,000.00	(\$825,242.74)	-	-	-		
31509	\$199,742.38	(\$379,755.26)	-	-	\$156,282.62		
31519	\$250,000.00	(\$317,226.32)	-	-	-		
31529	\$250,000.00	(\$320,615.05)	-	-	\$36,473.41		
31539	\$300,000.00	(\$354,646.11)	-	-	-		
31559	\$47,456.00	(\$74,100.41)	-	-	\$20,992.53		
31569	\$50,000.00	(\$72,988.55)	-	-	\$20,897.50		
31589	\$50,000.00	(\$50,735.75)	-	-	\$735.75		
31599	\$37,387.66	(\$45,248.37)	-	-	\$7,860.71		
31609	\$2,500.00	(\$4,142.22)	-	-	\$1,642.22		
31619	\$2,500.00	(\$4,142.22)	-	-	\$1,642.22		
31629	\$2,500.00	(\$3,297.23)	-	-	\$797.23		
31639	\$2,500.00	(\$3,388.15)	-	-	\$888.15		
31659	\$39,000.00	(\$63,889.08)	-	-	\$2,785.12		
31669	\$100,000.00	(\$183,723.76)	-	-	\$8,844.32	Objected	Unresolved
31679	\$350,000.00	(\$631,383.88)	-	-	-		
31689	\$100,000.00	(\$127,024.33)	-	-	-		
31709	\$200,000.00	(\$284,873.69)	-	-	-		
31719	\$26,496.90	(\$29,664.19)	-	-	\$2,502.29		
31729	\$100,000.00	(\$126,598.03)	-	-	-		
31769	\$50,000.00	(\$63,499.65)	-	-	\$13,499.65		
31819	\$100,000.00	(\$123,942.41)	-	-	-		
31839	\$800,000.00	(\$1,528,113.44)	-	-	-		
31879	\$100,000.00	(\$113,326.52)	-	-	\$13,326.52		
31899	\$500,000.00	(\$681,240.37)	-	-	-		
31909	\$201,000.00	(\$371,458.81)	-	-	-		
31919	\$100,000.00	(\$131,143.09)	-	-	-		
31969	\$1,910,000.00	(\$1,822,378.61)	(\$800,000.00)	-	-		
31979	\$85,581.00	(\$140,896.18)	-	-	\$30,805.68		
31999	\$199,000.00	(\$434,181.75)	-	-	\$214,925.38		
32019	\$50,000.00	(\$18,224.64)	(\$50,000.00)	-	-		
32109	\$125,000.00	(\$153,996.04)	-	-	-		
32119	\$40,000.00	(\$51,323.72)	-	-	\$11,323.72	Filed Proof of Claim	Resolved - Withdrawn
32129	\$100,000.00	(\$134,273.21)	-	-	\$29,623.13		
32139	\$100,000.00	(\$134,273.21)	-	-	\$29,623.13		
32159	\$25,000.00	(\$27,185.98)	-	-	\$2,185.98		
32169	\$150,000.00	(\$186,539.89)	-	-	-		
32249	\$252,430.00	(\$430,273.22)	-	-	\$101,307.65		
32289	\$50,000.00	(\$61,894.19)	-	-	-		
32299	\$25,000.00	(\$29,952.78)	-	-	\$4,952.78		
32309	\$500,000.00	(\$816,908.17)	-	-	-	Objected	Resolved - Withdrawn
32329	\$256,850.00	(\$287,028.78)	-	-	\$30,178.78		
32339	\$275,000.00	(\$309,558.37)	-	-	\$34,558.37		
32349	\$1,800.00	(\$4,096.44)	-	-	\$2,296.44		
32359	\$40,000.00	(\$46,198.89)	-	-	\$5,003.94	Filed Proof of Claim	Resolved - Withdrawn

INVESTOR ACCOUNT	Cash Invested into POA:	Cash Received by you from POA:	Investment Transfers	Investor Incentives	Reinvested Distributions	Objection Received?	Objection Status
32379	\$55,000.00	(\$203.84)	(\$66,671.09)	-	\$11,671.09		
32399	\$25,000.00	(\$32,921.53)	-	-	-		
32409	\$75,000.00	(\$113,900.50)	-	-	\$38,900.50		
32419	\$120,000.00	(\$6,941.59)	(\$126,530.80)	-	\$6,530.80		
32439	\$15,000.00	(\$33,842.69)	-	-	\$18,842.69		
32449	\$25,000.00	(\$37,093.79)	-	-	-		
32459	\$225,000.00	(\$266,149.76)	-	-	\$41,149.76		
32489	\$250,000.00	(\$576,342.50)	-	-	\$220,371.61		
32499	\$950,000.00	(\$1,790,918.37)	-	-	\$313,716.96		
32509	\$25,000.00	(\$43,800.61)	-	-	-		
32519	\$150,000.00	(\$178,708.00)	-	-	\$25,957.08		
32549	\$80,000.00	(\$109,466.11)	-	-	\$9,616.07		
32579	\$300,000.00	(\$349,264.76)	-	-	\$15,425.56		
32599	\$50,000.00	(\$56,315.35)	-	-	\$6,315.35		
32609	\$1,500.00	(\$2,171.04)	-	-	\$671.04		
32649	\$50,000.00	(\$61,869.10)	-	-	-		
32689	\$50,000.00	(\$95,825.27)	-	-	-		
32719	\$150,000.00	(\$219,632.02)	-	-	-		
32759	\$23,020.27	(\$37,137.30)	-	-	\$11,704.08	Objected	Unresolved
32809	\$50,000.00	(\$62,971.29)	-	-	-		
32829	\$1,300,000.00	(\$1,761,660.52)	-	-	-		
32839	\$50,000.00	(\$102,614.48)	-	-	\$32,488.73		
32849	\$50,000.00	(\$60,974.77)	-	-	-		
32879	\$95,599.00	(\$124,038.77)	-	-	\$25,084.57		
32949	\$50,000.00	(\$2,432.45)	(\$50,000.00)	-	-		
32959	\$63,499.65	(\$123,160.61)	-	-	\$40,581.53		
33009	\$105,447.34	(\$125,768.00)	-	-	\$20,320.66		
33019	\$50,000.00	(\$90,383.99)	-	-	\$39,148.32		
33029	\$550,000.00	(\$1,008,845.50)	-	-	-		
33089	\$490,000.00	(\$814,016.72)	-	-	\$145,845.47		
33099	\$87,000.00	(\$106,141.15)	-	-	\$4,245.41		
33119	\$206,274.00	(\$303,064.98)	-	\$204.00	\$74,743.31		
33129	\$109,215.00	(\$189,654.74)	-	\$102.75	\$57,145.84		
33199	-	(\$129,126.94)	\$102,085.50	-	-		
33229	\$90,000.00	(\$161,276.83)	-	-	\$59,237.21		
33239	\$600,000.00	(\$818,885.47)	-	-	-		
33249	\$50,000.00	(\$61,347.01)	-	-	-		
33259	\$250,000.00	(\$65,149.99)	(\$250,000.00)	-	-		
33279	\$120,000.00	(\$122,246.97)	-	-	\$2,246.97	Objected	Resolved - Withdrawn
33289	\$755,000.00	(\$922,377.93)	-	-	-		
33299	\$105,000.00	(\$126,837.93)	-	-	\$21,837.93		
33319	\$50,000.00	(\$60,742.27)	-	-	-		
33360	\$50,000.00	(\$67,831.20)	-	-	-		
33419	\$100,000.00	(\$115,119.74)	-	-	\$15,119.74		
33449	\$75,000.00	(\$110,942.41)	-	-	\$17,750.60		
33459	\$100,000.00	(\$100,000.00)	-	-	-		
33529	\$100,000.00	(\$130,732.34)	-	-	\$30,732.34		
33579	\$25,000.00	(\$41,588.31)	-	\$375.00	\$13,556.05		
33589	\$20,000.00	(\$22,458.20)	-	-	\$2,458.20		
33649	\$100,000.00	(\$165,242.98)	-	\$1,500.00	\$53,184.89		
33879	\$100,000.00	(\$123,339.26)	-	-	-	Objected	Resolved - Amended Transaction History
33969	-	(\$402,435.18)	\$350,000.00	-	-		
33989	\$40,000.00	(\$92,820.31)	\$30,000.00	-	\$20,956.98		
33999	\$20,000.00	(\$161,702.61)	\$110,000.00	-	\$27,088.82		
34079	\$50,000.00	(\$64,018.42)	-	-	-		
34579	-	(\$62,281.58)	\$50,000.00	-	-		
34619	\$75,000.00	(\$90,320.68)	-	-	-		
34639	\$100,000.00	(\$117,706.88)	-	\$2,000.00	-		

# **EXHIBIT C**

**CAUSE NO. D-1-GN-24-001018**

SAJID MAQSOOD, TRUSTEE OF THE SAJID	§	IN THE DISTRICT COURT
& JOAN M. MAQSOOD REVOCABLE TRUST,	§	
ET AL.,	§	
	§	
Plaintiffs,	§	
	§	
v.	§	TRAVIS COUNTY, TEXAS
	§	
PRIDE OF AUSTIN HIGH YIELD FUND I, LLC,	§	
ET AL.,	§	
	§	
Defendants.	§	201ST JUDICIAL DISTRICT

**RULE 11 AGREEMENT**

Gregory S. Milligan, in his capacity as the Court-appointed receiver (“**Receiver**”) for Defendant Pride of Austin High Yield Fund I, LLC (“**POA**” or the “**Fund**”) and John Arizpe and Judy Arizpe (“**Investors**”) file this Rule 11 Agreement to defer adjudication of Investors’ objections to the Reconciliation Notice and Transaction History:<sup>1</sup>

**WHEREAS**, on June 17, 2024, the Court entered the Claims Order.

**WHEREAS**, the Claims Order approved the form for sending the Transaction History and Reconciliation Notices to Investor Claimants and established a twenty-one day period from the date the Reconciliation Notices were mailed for Investor Claimants to file an objection to the Reconciliation Notices.

**WHEREAS**, on August 2, 2024, the Receiver mailed and/or emailed the Transaction History and Reconciliation Notices to each Investor Claimant.

**WHEREAS**, the Investor Claimants’ objections to the Transaction History or Reconciliation Notice were due on August 23, 2024.

---

<sup>1</sup> Capitalized terms used in this Rule 11 Agreement and not otherwise defined shall have the meanings ascribed to them in the Court’s *Order Granting Receiver’s Motion to Approve (I) Proposed Claims Verification Procedures, and (II) Claims Bar Date* (the “**Claims Order**”).

**WHEREAS**, on August 23, 2024, Investors timely filed objections to the Transaction History and Reconciliation Notice, disputing the characterization of payments received by Investor on the following dates:

1. October 13, 2023
2. December 18, 2023
3. December 29, 2023
4. February 23, 2024

**WHEREAS**, Receiver and Investors have agreed to defer Investors' objections to the Transaction History and Reconciliation Notice by this Rule 11 Agreement.

Accordingly, Receiver and Investors agree as follows:

1. The Transaction History accurately reflects the amounts of the payments received by Investors on the aforementioned dates.
2. The parties wish to defer any adjudication regarding (a) who these payments were from, POA, Robert Buchannon, or CCG Capital Group LLC; or (b) the characterization of said payments and how they may be applied or treated. These matters are not being adjudicated through the Reconciliation Notice or Transaction History.
3. Investors reserve all of their rights to object to the characterization or treatment of said payments if and when that issue is ultimately adjudicated.



Respectfully submitted and agreed by:

**DUNHAM LLP**

By: /s/ David Dunham

David Dunham  
State Bar No. 06227700  
919 Congress Avenue, Suite 910  
Austin, TX 78701  
david@dunhamllp.com

ATTORNEYS FOR INVESTORS

-and-

**HOLLAND & KNIGHT LLP**

By: /s/ Trip Nix

William R. "Trip" Nix  
State Bar No. 24092902  
Nicholas R. Miller  
State Bar No. 24125328  
Hannah M. Maloney  
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[Nick.Miller@hklaw.com](mailto:Nick.Miller@hklaw.com)  
[Hannah.Maloney@hklaw.com](mailto:Hannah.Maloney@hklaw.com)

ATTORNEYS FOR GREGORY S.  
MILLIGAN, RECEIVER

**CERTIFICATE OF SERVICE**

I hereby certify that, on November 11, 2024, a true and correct copy of the foregoing motion was served electronically upon all counsel of record via eFileTexas.

/s/ Trip Nix

Trip Nix

**CAUSE NO. D-1-GN-24-001018**

SAJID MAQSOOD, TRUSTEE OF THE SAJID	§	IN THE DISTRICT COURT
& JOAN M. MAQSOOD REVOCABLE TRUST,	§	
ET AL.,	§	
	§	
Plaintiffs,	§	
	§	
v.	§	TRAVIS COUNTY, TEXAS
	§	
PRIDE OF AUSTIN HIGH YIELD FUND I, LLC,	§	
ET AL.,	§	
	§	
Defendants.	§	201ST JUDICIAL DISTRICT

**RULE 11 AGREEMENT**

Gregory S. Milligan, in his capacity as the Court-appointed receiver (“**Receiver**”) for Defendant Pride of Austin High Yield Fund I, LLC (“**POA**” or the “**Fund**”) and Richard Gardner and Lorena Garnder (“**Investors**”) file this Rule 11 Agreement to defer adjudication of Investors’ objections to the Reconciliation Notice and Transaction History:<sup>1</sup>

**WHEREAS**, on June 17, 2024, the Court entered the Claims Order.

**WHEREAS**, the Claims Order approved the form for sending the Transaction History and Reconciliation Notices to Investor Claimants and established a twenty-one day period from the date the Reconciliation Notices were mailed for Investor Claimants to file an objection to the Reconciliation Notices.

**WHEREAS**, on August 2, 2024, the Receiver mailed and/or emailed the Transaction History and Reconciliation Notices to each Investor Claimant.

**WHEREAS**, the Investor Claimants’ objections to the Transaction History or Reconciliation Notice were due on August 23, 2024.

---

<sup>1</sup> Capitalized terms used in this Rule 11 Agreement and not otherwise defined shall have the meanings ascribed to them in the Court’s *Order Granting Receiver’s Motion to Approve (I) Proposed Claims Verification Procedures, and (II) Claims Bar Date* (the “**Claims Order**”).

**WHEREAS**, on August 23, 2024, Investors timely filed objections to the Transaction History and Reconciliation Notice, disputing the characterization of payments received by Investor on the following dates:

1. October 13, 2023
2. December 18, 2023
3. December 29, 2023
4. February 23, 2024

**WHEREAS**, Receiver and Investors have agreed to defer Investors' objections to the Transaction History and Reconciliation Notice by this Rule 11 Agreement.

Accordingly, Receiver and Investors agree as follows:

1. The Transaction History accurately reflects the amounts of the payments received by Investors on the aforementioned dates.
2. The parties wish to defer any adjudication regarding (a) who these payments were from, POA, Robert Buchannon, or CCG Capital Group LLC; or (b) the characterization of said payments and how they may be applied or treated. These matters are not being adjudicated through the Reconciliation Notice or Transaction History.
3. Investors reserve all of their rights to object to the characterization or treatment of said payments if and when that issue is ultimately adjudicated.

Respectfully submitted and agreed by:

**DUNHAM LLP**

By: /s/ David Dunham

David Dunham  
State Bar No. 06227700  
919 Congress Avenue, Suite 910  
Austin, TX 78701  
david@dunhamllp.com

ATTORNEYS FOR INVESTORS

-and-

**HOLLAND & KNIGHT LLP**

By: /s/ Trip Nix

William R. "Trip" Nix  
State Bar No. 24092902  
Nicholas R. Miller  
State Bar No. 24125328  
Hannah M. Maloney  
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[Nick.Miller@hklaw.com](mailto:Nick.Miller@hklaw.com)  
[Hannah.Maloney@hklaw.com](mailto:Hannah.Maloney@hklaw.com)

ATTORNEYS FOR GREGORY S.  
MILLIGAN, RECEIVER

**CERTIFICATE OF SERVICE**

I hereby certify that, on November 11, 2024, a true and correct copy of the foregoing motion was served electronically upon all counsel of record via eFileTexas.

/s/ Trip Nix

Trip Nix

**CAUSE NO. D-1-GN-24-001018**

SAJID MAQSOOD, TRUSTEE OF THE SAJID	§	IN THE DISTRICT COURT
& JOAN M. MAQSOOD REVOCABLE TRUST,	§	
ET AL.,	§	
	§	
Plaintiffs,	§	
	§	
v.	§	TRAVIS COUNTY, TEXAS
	§	
PRIDE OF AUSTIN HIGH YIELD FUND I, LLC,	§	
ET AL.,	§	
	§	
Defendants.	§	201ST JUDICIAL DISTRICT

**RULE 11 AGREEMENT**

Gregory S. Milligan, in his capacity as the Court-appointed receiver (“**Receiver**”) for Defendant Pride of Austin High Yield Fund I, LLC (“**POA**” or the “**Fund**”) and Jacob Leffler (“**Leffler**”) file this Rule 11 Agreement to resolve Leffler’s objections to the Reconciliation Notice and Transaction History:<sup>1</sup>

**WHEREAS**, on June 17, 2024, the Court entered the Claims Order.

**WHEREAS**, the Claims Order approved the form for sending the Transaction History and Reconciliation Notices to Investor Claimants and established a twenty-one day period from the date the Reconciliation Notices were mailed for Investor Claimants to file an objection to the Reconciliation Notices.

**WHEREAS**, on August 2, 2024, the Receiver mailed and/or emailed the Transaction History and Reconciliation Notices to each Investor Claimant.

**WHEREAS**, the Investor Claimants’ objections to the Transaction History or Reconciliation Notice were due on August 23, 2024.

---

<sup>1</sup> Capitalized terms used in this Rule 11 Agreement and not otherwise defined shall have the meanings ascribed to them in the Court’s *Order Granting Receiver’s Motion to Approve (I) Proposed Claims Verification Procedures, and (II) Claims Bar Date* (the “**Claims Order**”).

**WHEREAS**, on August 23, 2024, Leffler timely filed objections to the Transaction History and Reconciliation Notice.

**WHEREAS**, Receiver and Leffler have agreed to resolve Leffler's objections to the Transaction History and Reconciliation Notice by this Rule 11 Agreement.

Accordingly, Receiver and Leffler agree as follows:

1. The Transaction History accurately reflects the payments made by POA to Leffler and by Leffler to POA.
2. The characterization of said payments is not being adjudicated through the Reconciliation Notice or Transaction History.
3. Leffler reserves all of their rights to object to the characterization of said payments if and when that issue is adjudicated.

Respectfully submitted and agreed by:

**ALMANZA BLACKBURN DICKIE AND  
MITCHELL LLP**

By: /s/ Tanya Robinson

Tanya Robinson  
State Bar No. 24095822  
2301 S. Capital of Texas Hwy  
Austin, TX 78746  
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ATTORNEYS FOR INVESTOR

**HOLLAND & KNIGHT LLP**

By: /s/ Trip Nix

William R. "Trip" Nix  
State Bar No. 24092902  
Nicholas R. Miller  
State Bar No. 24125328  
Hannah M. Maloney  
State Bar No. 24125336  
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[Hannah.Maloney@hklaw.com](mailto:Hannah.Maloney@hklaw.com)

ATTORNEYS FOR GREGORY S.  
MILLIGAN, RECEIVER

**CERTIFICATE OF SERVICE**

I hereby certify that, on November 11, 2024, a true and correct copy of the foregoing motion was served electronically upon all counsel of record via eFileTexas.

/s/ Trip Nix

Trip Nix

**CAUSE NO. D-1-GN-24-001018**

SAJID MAQSOOD, TRUSTEE OF THE SAJID	§	IN THE DISTRICT COURT
& JOAN M. MAQSOOD REVOCABLE TRUST,	§	
ET AL.,	§	
	§	
Plaintiffs,	§	
	§	
v.	§	TRAVIS COUNTY, TEXAS
	§	
PRIDE OF AUSTIN HIGH YIELD FUND I, LLC,	§	
ET AL.,	§	
	§	
Defendants.	§	201ST JUDICIAL DISTRICT

**RULE 11 AGREEMENT**

Gregory S. Milligan, in his capacity as the Court-appointed receiver (“**Receiver**”) for Defendant Pride of Austin High Yield Fund I, LLC (“**POA**” or the “**Fund**”) and Patricia Lloyd Jones, Individually and as Independent Executor of the Estate of James L. Lloyd, Deceased and on behalf of the James L. Lloyd IRA and James L. Lloyd Roth IRA (“**Investor**”) file this Rule 11 Agreement to defer adjudication of Investor’s objections to the Reconciliation Notice and Transaction History:<sup>1</sup>

**WHEREAS**, on June 17, 2024, the Court entered the Claims Order.

**WHEREAS**, the Claims Order approved the form for sending the Transaction History and Reconciliation Notices to Investor Claimants and established a twenty-one day period from the date the Reconciliation Notices were mailed for Investor Claimants to file an objection to the Reconciliation Notices.

**WHEREAS**, on August 2, 2024, the Receiver mailed and/or emailed the Transaction History and Reconciliation Notices to each Investor Claimant.

---

<sup>1</sup> Capitalized terms used in this Rule 11 Agreement and not otherwise defined shall have the meanings ascribed to them in the Court’s *Order Granting Receiver’s Motion to Approve (I) Proposed Claims Verification Procedures, and (II) Claims Bar Date* (the “**Claims Order**”).



**WHEREAS**, the Investor Claimants' objections to the Transaction History or Reconciliation Notice were due on August 23, 2024.

**WHEREAS**, on August 23, 2024, Investor timely filed objections to the Transaction History and Reconciliation Notice, disputing the characterization of payments received by Investor on the following dates:

1. October 13, 2023
2. December 18, 2023
3. December 29, 2023
4. February 23, 2024

**WHEREAS**, Investor also objected to two payments on the Transaction History contending such payments did not occur, or were inaccurate in amount. Specifically, Investor claims that (i) a February 23, 2024 transfer in the amount of \$10,096.48 to Account No. \*\*\*69 did not occur; (ii) that a February 23, 2024 transfer in the amount of \$8,359.96 to Account No. \*\*\*59 did not occur; and (ii) that a February 23, 2024 transfer in the amount of \$4,751.28 to Account No. \*\*\*29 is inaccurate in amount, and that the accurate amount of such transfer should be reflected on the Transaction History as \$23,207.72.

**WHEREAS**, Receiver and Investor have agreed to defer Investor's objections to the Transaction History and Reconciliation Notice by this Rule 11 Agreement.

Accordingly, Receiver and Investor agree as follows:

1. The Transaction History will be revised as follows: (i) the February 23, 2024 transfer in the amount of \$10,096.48 to Account No. \*\*\*69 will be removed; (ii) February 23, 2024 transfer in the amount of \$8,359.96 to Account No. \*\*\*59 will be removed; and (iii) the February 23, 2024 transfer to Account No. \*\*\*29 will be revised from \$4,751.28 to \$23,207.72. Once revised as agreed in this paragraph, the Transaction

History accurately reflects the amounts of the payments received by Investor on the aforementioned dates.

2. The parties wish to defer any adjudication regarding (a) who these payments were from, POA, Robert Buchannon, or CCG Capital Group LLC; or (b) the characterization of said payments and how they may be applied or treated. These matters are not being adjudicated through the Reconciliation Notice or Transaction History.
3. Investor reserves all of their rights to object to the characterization or treatment of said payments if and when that issue is ultimately adjudicated.

Respectfully submitted and agreed by:

**DUNHAM LLP**

By: /s/ David Dunham

David Dunham  
State Bar No. 06227700  
919 Congress Avenue, Suite 910  
Austin, TX 78701  
david@dunhamllp.com

ATTORNEYS FOR INVESTORS

-and-

**HOLLAND & KNIGHT LLP**

By: /s/ Trip Nix

William R. "Trip" Nix  
State Bar No. 24092902  
Nicholas R. Miller  
State Bar No. 24125328  
Hannah M. Maloney  
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[Hannah.Maloney@hklaw.com](mailto:Hannah.Maloney@hklaw.com)

ATTORNEYS FOR GREGORY S.  
MILLIGAN, RECEIVER

**CERTIFICATE OF SERVICE**

I hereby certify that, on November 11, 2024, a true and correct copy of the foregoing motion was served electronically upon all counsel of record via eFileTexas.

/s/ Trip Nix

Trip Nix

**CAUSE NO. D-1-GN-24-001018**

SAJID MAQSOOD, TRUSTEE OF THE SAJID	§	IN THE DISTRICT COURT
& JOAN M. MAQSOOD REVOCABLE TRUST,	§	
ET AL.,	§	
	§	
Plaintiffs,	§	
	§	
v.	§	TRAVIS COUNTY, TEXAS
	§	
PRIDE OF AUSTIN HIGH YIELD FUND I, LLC,	§	
ET AL.,	§	
	§	
Defendants.	§	201ST JUDICIAL DISTRICT

**RULE 11 AGREEMENT**

Gregory S. Milligan, in his capacity as the Court-appointed receiver (“**Receiver**”) for Defendant Pride of Austin High Yield Fund I, LLC (“**POA**” or the “**Fund**”) and Anish Tolia, as Trustee of Tolia 2013 Revocable Trust and Anish Tolia IRA Account No. XXXX1496 (collectively, “**Investor**”) file this Rule 11 Agreement to defer adjudication of Investors’ objections to the Reconciliation Notice and Transaction History:<sup>1</sup>

**WHEREAS**, on June 17, 2024, the Court entered the Claims Order.

**WHEREAS**, the Claims Order approved the form for sending the Transaction History and Reconciliation Notices to Investor Claimants and established a twenty-one day period from the date the Reconciliation Notices were mailed for Investor Claimants to file an objection to the Reconciliation Notices.

**WHEREAS**, on August 2, 2024, the Receiver mailed and/or emailed the Transaction History and Reconciliation Notices to each Investor Claimant.

---

<sup>1</sup> Capitalized terms used in this Rule 11 Agreement and not otherwise defined shall have the meanings ascribed to them in the Court’s *Order Granting Receiver’s Motion to Approve (I) Proposed Claims Verification Procedures, and (II) Claims Bar Date* (the “**Claims Order**”).

**WHEREAS**, the Investor Claimants' objections to the Transaction History or Reconciliation Notice were due on August 23, 2024.

**WHEREAS**, on August 23, 2024, Investors timely filed objections to the Transaction History and Reconciliation Notice, disputing the characterization of payments received by Investor on the following dates:

1. October 13, 2023
2. December 18, 2023
3. December 29, 2023
4. February 23, 2024

**WHEREAS**, Receiver and Investors have agreed to defer Investors' objections to the Transaction History and Reconciliation Notice by this Rule 11 Agreement.

Accordingly, Receiver and Investors agree as follows:

1. The Transaction History accurately reflects the amounts of the payments received by Investors on the aforementioned dates.
2. The parties wish to defer any adjudication regarding (a) who these payments were from, POA, Robert Buchannon, or CCG Capital Group LLC; or (b) the characterization of said payments and how they may be applied or treated. These matters are not being adjudicated through the Reconciliation Notice or Transaction History.
3. Investors reserve all of their rights to object to the characterization or treatment of said payments if and when that issue is ultimately adjudicated.

Respectfully submitted and agreed by:

**DUNHAM LLP**

By: /s/ David Dunham

David Dunham  
State Bar No. 06227700  
919 Congress Avenue, Suite 910  
Austin, TX 78701  
david@dunhamllp.com

ATTORNEYS FOR INVESTORS

-and-

**HOLLAND & KNIGHT LLP**

By: /s/ Trip Nix

William R. "Trip" Nix  
State Bar No. 24092902  
Nicholas R. Miller  
State Bar No. 24125328  
Hannah M. Maloney  
State Bar No. 24125336  
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[Trip.Nix@hklaw.com](mailto:Trip.Nix@hklaw.com)  
[Nick.Miller@hklaw.com](mailto:Nick.Miller@hklaw.com)  
[Hannah.Maloney@hklaw.com](mailto:Hannah.Maloney@hklaw.com)

ATTORNEYS FOR GREGORY S.  
MILLIGAN, RECEIVER

**CERTIFICATE OF SERVICE**

I hereby certify that, on November 11, 2024, a true and correct copy of the foregoing motion was served electronically upon all counsel of record via eFileTexas.

/s/ Trip Nix

Trip Nix

**CAUSE NO. D-1-GN-24-001018**

SAJID MAQSOOD, TRUSTEE OF THE SAJID	§	IN THE DISTRICT COURT
& JOAN M. MAQSOOD REVOCABLE TRUST,	§	
ET AL.,	§	
	§	
Plaintiffs,	§	
	§	
v.	§	TRAVIS COUNTY, TEXAS
	§	
PRIDE OF AUSTIN HIGH YIELD FUND I, LLC,	§	
ET AL.,	§	
	§	
Defendants.	§	201ST JUDICIAL DISTRICT

**RULE 11 AGREEMENT**

Gregory S. Milligan, in his capacity as the Court-appointed receiver (“**Receiver**”) for Defendant Pride of Austin High Yield Fund I, LLC (“**POA**” or the “**Fund**”) and Jeffrey Walton (“**Investor**”) file this Rule 11 Agreement to defer adjudication of Investor’s objections to the Reconciliation Notice and Transaction History:<sup>1</sup>

**WHEREAS**, on June 17, 2024, the Court entered the Claims Order.

**WHEREAS**, the Claims Order approved the form for sending the Transaction History and Reconciliation Notices to Investor Claimants and established a twenty-one day period from the date the Reconciliation Notices were mailed for Investor Claimants to file an objection to the Reconciliation Notices.

**WHEREAS**, on August 2, 2024, the Receiver mailed and/or emailed the Transaction History and Reconciliation Notices to each Investor Claimant.

**WHEREAS**, the Investor Claimants’ objections to the Transaction History or Reconciliation Notice were due on August 23, 2024.

---

<sup>1</sup> Capitalized terms used in this Rule 11 Agreement and not otherwise defined shall have the meanings ascribed to them in the Court’s *Order Granting Receiver’s Motion to Approve (I) Proposed Claims Verification Procedures, and (II) Claims Bar Date* (the “**Claims Order**”).

**WHEREAS**, on August 23, 2024, Investor timely filed objections to the Transaction History and Reconciliation Notice, disputing the characterization of payments received by Investor on the following dates:

1. October 13, 2023
2. December 18, 2023
3. December 29, 2023
4. February 23, 2024

**WHEREAS**, Receiver and Investor have agreed to defer Investor's objections to the Transaction History and Reconciliation Notice by this Rule 11 Agreement.

Accordingly, Receiver and Investor agree as follows:

1. The Transaction History accurately reflects the amounts of the payments received by Investor on the aforementioned dates.
2. The parties wish to defer any adjudication regarding (a) who these payments were from, POA, Robert Buchannon, or CCG Capital Group LLC; or (b) the characterization of said payments and how they may be applied or treated. These matters are not being adjudicated through the Reconciliation Notice or Transaction History.
3. Investor reserves all of their rights to object to the characterization or treatment of said payments if and when that issue is ultimately adjudicated.



Respectfully submitted and agreed by:

**DUNHAM LLP**

By: /s/ David Dunham

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ATTORNEYS FOR INVESTORS

-and-

**HOLLAND & KNIGHT LLP**

By: /s/ Trip Nix

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ATTORNEYS FOR GREGORY S.  
MILLIGAN, RECEIVER

**CERTIFICATE OF SERVICE**

I hereby certify that, on November 11, 2024, a true and correct copy of the foregoing motion was served electronically upon all counsel of record via eFileTexas.

/s/ Trip Nix

Trip Nix

### Automated Certificate of eService

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Envelope ID: 94155652

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Filing Description: RECEIVER'S INVESTOR CLAIMS REPORT

Status as of 11/12/2024 8:48 AM CST

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